

**UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK**

JAMES CONTANT, MARTIN-HAN TRAN,  
CARLOS GONZALEZ, UGNIUS MATKUS,  
JERRY JACOBSON, and PAUL  
VERMILLION, on behalf of themselves and all  
others similarly situated,

Plaintiffs,

v.

BANK OF AMERICA CORPORATION,  
BANK OF AMERICA, N.A., *et al.*,

Defendants.

Case No. 17-cv-03139-LGS

**CORPORATE DISCLOSURE STATEMENT PURSUANT TO RULE 7.1**

Pursuant to Federal Rule of Civil Procedure 7.1, the undersigned counsel of record for  
defendants Morgan Stanley, Morgan Stanley & Co. LLC, and Morgan Stanley & Co.

International plc hereby states as follows:

Morgan Stanley & Co. LLC is a limited liability company whose sole member is Morgan  
Stanley Domestic Holdings, Inc., a corporation wholly owned by Morgan Stanley Capital  
Management, LLC, a limited liability company whose sole member is Morgan Stanley. Morgan  
Stanley is a publicly held corporation that has no parent corporation.

Morgan Stanley & Co. International plc is an indirectly wholly owned subsidiary of  
Morgan Stanley. Morgan Stanley is a publicly held corporation that has no parent corporation.

Based on Securities and Exchange Commission Rules regarding beneficial ownership,  
Mitsubishi UFJ Financial Group, Inc., 7-1 Marunouchi 2-Chome, Chiyoda-ku, Tokyo 100-8330,  
beneficially owns greater than 10% of Morgan Stanley's outstanding common stock.

Dated: New York, New York  
May 16, 2017

WACHTELL, LIPTON, ROSEN & KATZ

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& Co. LLC, and Morgan Stanley & Co.  
International plc*